

**WOOL DEVELOPMENT BOARD EMPLOYEES' CONDUCT, DISCIPLINE  
AND APPEAL RULES, 1993**

**Rule1. Short title and commencement**

- i) These rules may be called the 'WDB Employees' conduct, Discipline and appeal Rules, 1993
- ii) They shall come into force with effect from the date the same are approved by the Board.

**Rule2. Application**

These rules shall apply to all employees of the Board except those in casual employment or paid from contingencies.

**Rule3. Definitions**

In these rules, unless the context otherwise requires-

- a) "Appointing authority" means the authority as prescribed in the Wool Development Board Service Regulations.
- b) "Appellate Authority" means the authority so specified in the Schedule.
- c) "Board" means the Wool Development Board and includes, in relation to the exercise of powers, any committee of the Board or any officer of the Board to whom the Board delegates any of its powers.
- d) "Chairman" means the Chairman of the Wool Development Board.
- e) "Vice Chairman" means the Vice Chairman of the Wool Development Board.
- f) "Member Secretary" means the Member Secretary of the Wool Development Board.
- g) "Competent Authority" means the authority empowered by the Board by any general or special rule or order to discharge the functions or use the powers specified in the rule or order.
- h) "Disciplinary Authority" means the authority so specified in the schedule.
- i) "Employee" means any person appointed to service or post in connection with the affairs of the Board on regular basis but will not include a person appointed on casual basis. A casual employee shall mean a person who is not a regular employee and who is employed for work, which is essentially of occasional, casual contractual or seasonal nature.
- j) "Family" in relation to an employee includes:-
  - a) The wife or husband as the case may be of the employee whether residing with him or not but does not include a wife or husband, as the case may be, separated from the employee by decree or order of a competent court;
  - b) Sons or daughters or step-sons or step-daughters of the employee wholly dependent on him but does not include a child or step-child who is no longer in any way dependent on the employee or of whose custody the employee has been deprived of by or under any law.
- k) "Government" means the Government of India.

- l) “Public Servant” shall mean and include a person as mentioned in section 21 of Indian Penal Code as amended from time to time.
- m) “Reviewing Authority” means the authority specified in the Schedule.
- n) “Schedule”-means the Schedule appended to these Rules.

**Rule4. General**

- 1) Every employee of the Board shall at all times: -
  - i) Maintain absolute integrity;
  - ii) Maintain devotion to duty; and
  - iii) Do nothing, which is unbecoming of a public servant.

**Rule5 MISCONDUCT**

Without prejudice to the generality of the term ‘misconduct’, the following acts of omission and commission shall be treated as misconduct:

**(A) MINOR MISCONDUCT**

- 1. Absence without leave or over-staying the sanctioned leave without sufficient grounds and satisfactory explanation.
- 2. Habitual late or irregular attendance.
- 3. Collection of any type of subscription or funds except with the prior permission of the competent authority.
- 4. Organising holding or attending or taking part in any meeting within the premises of the Board without prior sanction of the competent authority.
- 5. Leaving normal place of work without permission during scheduled working hours.
- 6. Obtaining or attempting to obtain leave of absence by false pretence.
- 7. Breach of rules and regulations and office orders issued from time to time.

**(B) MAJOR MISCONDUCT**

- (1) Theft, fraud or dishonesty committed by the employee.
- (2) Taking or giving bribes or any illegal gratification.
- (3) Possession of pecuniary resources of property disproportionate to the known sources of income by the employee or on his behalf by another person, which the employee cannot satisfactorily account for.
- (4) Furnishing false information regarding name, age father’s name, qualifications, ability or previous service or any other matter relating to the employment at the time of employment or during the course of employment.
- (5) Acting in a manner prejudicial to the interests of the Board.
- (6) Willful insubordination or disobedience, whether or not in combination with others, of any lawful and reasonable order of his superior.
- (7) Neglect of work or negligence in the performance of duty including malingering or slowing down of work.
- (8) Damage to any property of the Board committed willfully or by negligence.

- (9) Interference or tampering with any safety devices installed in or about the premises of the Board.
- (10) Drunkenness or riotous or disorderly or indecent behavior.
- (11) Gambling.
- (12) Smoking where it is prohibited.
- (13) Sleeping while on duty.
- (14) Commission of any act, which amounts to a criminal offence involving moral turpitude.
- (15) Absence from the employee's appointed place of work without permission or sufficient cause.
- (16) Purchasing properties, machinery, stores, etc. from or selling properties, machinery, stores etc. to the Board without express permission in writing from the competent authority.
- (17) Commission of any act subversive of discipline or of good behavior.
- (18) Abetment or an attempt to abetment it of any act which amounts to misconduct.
- (19) Possession of any lethal weapon in the Board's premises.
- (20) Conviction by any court of law for any criminal offence.
- (21) No compliance of the order of the superior officer(s) of the Board.

**NOTE:** The above instances of misconduct (minor & major) are illustrative in nature and not exhaustive.

**Rule6. Employment of near relative of the employees of the Board in private undertaking enjoying patronage of the Board.**

- 1) No employee shall use his position or influence directly or indirectly to secure employment for any person related, whether by blood or marriage to the employee or to the employee's wife or husband, whether such person is dependent on the employee or not.
- 2) No employee shall, except with the previous sanction of the competent authority, permit his son, daughter or any member of the family to accept employment with any private firm with which he has official dealings, or with any other firm, having official dealings with the Board.

Provided that where the acceptance of the employment cannot await the prior permission of the competent authority, the employment may be accepted provisionally subject to the permission of the competent authority, to whom the matter shall be reported forthwith.

- 3) No employee shall in the discharge of his official duties deal with any matter or give or sanction any contract to any firm or any other person if any member of his family is employed in that firm or under that person or if he or any member of his family is interested in such matter or contract in any other matter and the employee shall refer every such matter or contract to his official superior and the matter or the contract shall thereafter be disposed of according to the instructions of the authority to whom the reference is made.

**Rule7. Taking part in demonstrations**

No employee of the Board shall engage himself or participate in any demonstration, which involves incitement to an offence.

**Rule8. Connection with Press or Radio**

- (1) No employee of the Board shall, except with the previous sanction of the competent authority, own wholly or in part, or conduct or participate in the editing or management of, any newspaper or other periodical publication.
- (2) No employee of the Board shall except with the previous sanction of the competent authority or the prescribed authority, or in the bonafide discharge of which duties, participate in a radio broadcast or contribute any article or write any letter either in his own name or anonymously, pseudonymously or in the name of any other person to any newspaper or periodical.

Provided that no such sanction shall be required if such broadcast or such contribution is of a purely literary, artistic or scientific character.

**Rule9. Criticism of Government and the Board**

No employee shall in any radio broadcast or in any document published under his name or in the name of any other person or in any communication to the Press, or in any public utterances, make any statement:

- a) Which has the effect of adverse criticism of any policy or action of the Central or State Governments, or of the Board or
- b) Which is capable of embarrassing the relations between the board and the public.

Provided that nothing in these rules shall apply to any statement made or views expressed by an employee, of purely factual nature which are not considered to be of a confidential nature, in his official capacity or in due performance of the duties assigned to him.

Provided further that nothing contained in this clause shall apply to bonafide expression of views by him as an office-bearer of a recognized trade union for the purpose of safeguarding the conditions of services of such employees or for securing an improvement thereof.

**Rule10. Evidence before committee or any other authority**

- (1) Same as provided in sub rule (3), no employee of the Board shall, except with the previous sanction of the competent authority, give evidence in connection with any enquiry conducted by any person, committee or authority.
- (2) Where any sanction has been accorded under sub-rule (1), no employee giving such evidence shall criticize the policy or any action of the Central Government or of State Government or of the Board.
- (3) Nothing in this rule shall apply-

- a) Evidence given at any enquiry before an authority appointed by the Government, parliament or a State Legislature or Board.
- b) Evidence given in any judicial enquiry; or
- c) Evidence given at any departmental enquiry ordered by authorities subordinate to the Government.

**Rule11. Unauthorised communication of information**

No employee shall, except in accordance with any general or special order of the Board or in the performance in good faith of the duties assigned to him, communicate directly or indirectly, any official document or any part thereof or information to any officer or other employee, or any other person to whom he is not authorized to communicate such document or information.

**Rule12. Subscriptions**

No employee shall, except with the previous sanction of the Competent authority ask for or accept contributions to, or otherwise associate himself with the raising of any fund or other collection in cash or in kind, in pursuance of any object whatsoever.

**Rule13. (a) Gifts**

- (1) Save as otherwise provided in these rules, no employee of the Board shall accept or permit any member of his family or any person acting on his behalf, to accept any gift.

**Explanation:** The expression “Gift” shall include free transport, board, lodging or other service or any other pecuniary advantages when provided by any person other than a near relative or a personal friend having no official dealing with the employee.

**Note:** (1) A casual meal, lift or other social hospitality shall not be deemed to be a gift.

- (2) An employee of the Board shall avoid accepting lavish hospitality or frequent hospitality from any individual having official dealings with him or from industrial or commercial firms organisations etc.
- (2) On occasions such as weddings, farewell anniversaries, funerals or religious functions, when the making of gifts in conformity with the prevailing religious or social practice, an employee of the Board may accept gifts from his near relatives but he shall make a report to the competent authority if the value of the gift exceeds Rs. 1000/-.
- (3) On such occasions as are specified in sub-rule (2) an employee of the Board may accept gifts from his personal friends having no official dealings with him, but he shall make a report to the competent authority if the value of any such gift exceeds Rs. 5,00/-.
- (4) In any other case, an employee of the Board shall not accept any gifts without the sanction of the competent authority if the value thereof exceeds Rs. 5,00/-.

Provided that when more than one gift has been received from the same person/firm within a period of 12 months, the matter shall be reported to the competent authority if the aggregate value of the gifts exceeds Rs. 5,00/-.

**Rule13 (b) Giving or taking of dowry**

No employee of the Board shall-

- i) Give or take or abet the giving or taking of dowry; or
- ii) Demand, directly or indirectly, from the parents or guardian of a bride or bridegroom, as the case may be, any dowry.

**Explanation:** For the purpose of this rule, “Dowry” has the same meaning as in the Dowry Prohibition Act, 1961 (28 of 1961)

**Rule14. Private trade or employment**

- (1) No employee of the Board shall except with the previous sanction of the competent authority, engage directly or indirectly in any trade or business or undertake any other employment;

Provided that an employee may, without such sanction, undertake honorary work of a social or charitable nature or occasional work of a literary, artistic or scientific character, subject to the condition that his official duties do not there by suffer.

- (2) Every employee of the Board shall report to the competent authority if any member of his family is engaged in a trade or business or owns or manages an insurance agency or commission agency.
- (3) No employee of the Board shall, without the previous sanction of the competent authority, except in the discharge of his official duties, take part in the registration, promotion or management of any bank or other company which is required to be registered under the companies Act, 1956 (1 of 1956) or other law for the time being in force of any cooperative society for commercial purposes;

Provided that an employee of the Board may take part in the registration promotion or management of a consumer/ House Building Co-operative Society substantially for the benefit of employees of the Corporation, registered under the Co-operative Societies Act, 1912 (2 of 1912) or any other law for the time being in force, of a literary, scientific or charitable society registered under the Societies Registration Act, 1860 (21 of 1860), or any corresponding law in force.

- (4) No employee of the Board may accept any fee or any pecuniary advantage for any work done by him for any public body or any private person without the sanction of the competent authority.

**Rule15. Investment, lending and borrowing**

No employee shall, save in the ordinary course of business with a bank the Life Insurance Corporation or a firm of standing, borrow money from or lend money to or otherwise place him self under pecuniary obligation to any person with whom he has or is likely to have official dealings or permit any such borrowing, lending or pecuniary obligation in his name or such borrowing, lending or pecuniary obligation in his name or for his benefit or for the benefit of any member of his family.

**Rule 16. Insolvency and habitual indebtedness**

- (1) An employee of the Board shall avoid habitual indebtedness unless he proves that such indebtedness or insolvency is the result of circumstances beyond his control and does not proceed from extravagance or dissipation.
- (2) An employee of the Board who applies to be or is adjudged or declared insolvent shall forthwith report the fact to his competent authority.

**Rule17. Movable, immovable and valuable property**

- (1) No employee of the Board shall, except with the previous knowledge of the competent authority, acquire or dispose of any immovable property by lease, mortgage, purchase, sale, gift or otherwise, either in his own name or in the name of any member of his family.
- (2) No employee of the Board shall, except with the previous sanction of the competent authority, enter into any transaction concerning any immovable or movable property with a person or a firm having official dealing with the employee of his sub-ordinate.
- (3) Every employee of the Board shall report to the competent authority every transaction entered into by him either in his own name or in the name of a member of his family in respect of movable property, if the value of such property exceeds Rs. 10,000/-.
- (4) Every employee shall, on first appointment in the Board submit a return of assets and liabilities in the prescribed form giving the particulars regarding-
  - (a) The immovable property inherited by him, or owned or acquired by him or held by him on lease or mortgage, either in his own name or in the name of any member of his family or in the name of any other person;
  - (b) Shares, debentures and cash including bank deposits inherited by him or similarly owned, acquired or held by him;
  - (c) Other movable property inherited by him or similarly owned, acquired or held by him in the value of such property exceeds Rs. 10,000/-;
  - (d) Debts and other liabilities incurred by him directly or indirectly;

- (e) Every employee in the pay scale of and above shall as on 1<sup>st</sup> January submit a return of immovable property inherited/owned acquired once in every one year.
- (5) The competent authority may, at any time, by general or special order require an employee to submit, within a period specified in the order a full and complete statement of such movable or immovable property held or acquired by him or on his behalf or by any member of his family as may be specified in the order. Such Statement shall, if so required by the competent authority, include details of the means by which, or the source from which such property was acquired.

**Rule18. Conversing of non-official or other influence**

No employee shall bring or attempt to bring any outside influence to bear upon any superior authority to further his interests, in respect of matters pertaining to his service in the Board.

**Rule19. Marriages**

- (1) No employee shall enter into, or contract, a marriage with a person having a spouse living; and
- (2) No employee, having a spouse living, shall enter into, or contract, a marriage with any person;
- (3) Any employee who has married or marries a person other than that of Indian nationality, shall forthwith intimate the fact to the Board.

Provided that the Board may permit an employee to enter into, or contract, any such marriage as is referred to in clause (1) or clause (2), if it is satisfied that-

- (a) Such marriage is permissible under the personal law applicable to such employee and the other party to the marriage; and
- (b) There are other grounds for so doing.

**Rule 20. Consumption of intoxicating drinks & drugs**

An employee of the Board shall-

- (a) Strictly abide by any law relating to intoxicating drink or drug in force in any area in which he may happen to be for the time being;
- (b) Not be under the influence of any intoxicating drink or drug during the course of his duty and shall also take due care that the performance of his duties at any time is not affected in any way by the influence of such drink or drug;
- (c) Refrain from consuming any intoxicating drink or drug in a public place;
- (d) Not appear in a public place in a state of intoxicating;
- (e) Not use any intoxicating drink or drug to excess.



**Explanation:** For the purposes of this rule, 'public place' means any place or premises (including clubs, even exclusively meant for members where it is permissible for the members to invite non members as guests, bars and restaurants, conveyance) to which the public have or are permitted to have access, whether on payment or otherwise.

### **Rule 21. Disciplinary Authority**

The Disciplinary Authority, as specified in the schedule, or any authority higher than it may impose any of the penalties specified in Rule 22 on an employee.

### **Rule 22. Penalties**

The following penalties may be imposed on an employee, as hereinafter provided, for misconduct committed by him or for any other good and sufficient reasons.

#### **Minor Penalties**

- (a) Censure;
- (b) With-holding of increments of pay with or with-out cumulative effect;
- (c) With-holding of promotion;
- (d) Recovery from pay or such other amount as may be due to him of the whole or part of any pecuniary loss cause to the Board by negligence or breach of orders.

#### **Major-Penalties**

- (e) Reduction to a lower grade or post, or to a lower stage in a time scale;
- (f) Removal from service which shall not be a disqualification for future employment;
- (g) Dismissal from service which shall be disqualification for future employment with the Board;

**Explanation:** The following shall not amount to a penalty within the meaning of this rule: -

- (i) Withholding of increment of an employee on account of his work being found unsatisfactory or not being of the required standard, or for failure to pass a prescribed test or examination;
- (ii) Stoppage of an employee at the efficiency bar in a time scale, on the ground of his unfitness to cross the bar;
- (iii) Non-promotion, whether in an officiating capacity or otherwise, of an employee, to a higher post for which he may be eligible for consideration but for which he is found unsuitable after consideration of his case;
- (iv) Reversion to a lower grade or post, of an employee officiating in a higher grade or post, on the ground that he is considered, after trial, to be unsuitable for such higher grade or post, or on administrative grounds unconnected with his conduct.
- (v) Reversion to his previous grade or post, of an employee appointed on probation to another grade or post, during or at the end of the period of probation, in accordance with the terms of his appointment;
- (vi) Termination of service-
  - (a) Of an employee appointed on probation, during or at the end of the period of probation, in accordance with the terms of his appointment;

- (b) Of an employee appointed in a temporary capacity otherwise than under a contract or agreement on the expiration of the period for which he was appointed, or earlier in accordance with the terms of his appointment;
- (c) Of an employee appointed under a contract or agreement, in accordance with the terms of such contracts or agreement: and
- (d) Of any employee on reduction of establishment.

**Rule23. Suspension**

- (1) The appointing authority or any authority to which it is subordinate or the disciplinary authority or any other authority empowered in that behalf by the Board by general or special order may place an employee under suspension-
  - (a) Where a disciplinary proceedings against him is contemplated or is pending; or
  - (b) Where a case against him in respect of any criminal offence is under investigation of trial.
- (2) An employee who is detained in custody, whether on a criminal charge or otherwise, for a period exceeding 48 hours shall be deemed to have been suspended with effect from the date of detention by an order of the appointing authority, and shall remain under suspension until further orders.
- (3) Where a penalty of dismissal or removal from service imposed upon an employee under suspension is set aside on appeal or on review under these rules and the case is remitted for further inquiry or action or with any other directions, the order of the suspension shall no boomed to have continued in force on and from the date of the original order of dismissal or removal and shall remain in force until further orders.
- (4) Where a Penalty of dismissal or removal from service imposed upon an employee is set aside or declared or rendered void in consequence of or by decision of a court of law and the disciplinary authority, on consideration of the circumstances of the case, decides to held a further inquiry against him on the allegations on which the penalty of dismissal or removal was originally imposed, the employee shall be deemed to have been placed under suspension by the appointing authority from the date of the original order of dismissal or removal and shall continue to remain under suspension until further orders.
- (5) An order or suspension made or deemed to have been made under this Rule may at any time be revoked by the authority, which made or it deemed to have made the order or by any authority to which that authority is subordinate.

**Rule 24. Subsistence Allowance**

- (1) An employee under suspension shall be entitled to draw subsistence allowance equal to 50 percent of his basic pay provided the disciplinary authority is satisfied that the employee is not engaged in any other employment or business or profession or vocation. In addition he shall be entitled to Dearness Allowance admissible on such subsistence allowance any other compensatory allowance of which he was in receipt on the date of suspension provided the suspending authority is satisfied that the employee continues to meet the expenditure for which the allowance was granted.

- (2) Where the period of suspension exceeds six months, the authority, which made or is deemed to have made the order of suspension, shall be competent to vary the amount of subsistence allowance for any period subsequent to the period of the first six months as follows:
  - (i) The amount of subsistence allowance may be increased to 75 percent of basic pay and allowance thereon if in the opinion of the said authority, the period of suspension has been prolonged for reasons to be recorded in writing not directly attributable to the employee under suspension;
  - (ii) The amount of subsistence allowance may be reduced to 25 percent of basic pay and allowances thereon if in the opinion of the said authority, the period of suspension has been prolonged the to the reasons to be recorded in writing, directly attributable to the employee under suspension.

**NOTE:** The provision of the law of the land if more beneficial would be automatically applicable.

- (3) If an employee is arrested by the Police on a criminal charges and bail is not granted, no subsistence allowance is payable. On grant of bail, if the competent authority decides to continue the suspension, the employee shall be entitled to subsistence allowance.

#### **Rule25. Treatment of the period of suspension**

- (1) When the employee under suspension, is reinstated, the competent authority may grant to him the following pay and allowances for the period of suspension:
  - (a) If the employee is exonerated and not awarded any of the penalties mentioned in Rule-22 the full pay and allowances which he would have been entitled to if he had not been suspended, less the subsistence allowance already paid to him; and
  - (b) If otherwise, such proportion of pay and allowances as the competent authority may prescribed
- (2) In a case falling under sub-clause (a) the period of absence from duty will be treated as a period spent on duty. In cases falling under sub-clause (b) it will not be treated as a period spent on duty unless the competent authority so directs.

#### **Rule26. Procedure for imposing Major Penalties**

- (1) No order imposing any of the major penalties specified in clause (e), (f) and (g) of Rule-22 shall be made except after an inquiry is held in accordance with this rule.
- (2) Whenever the disciplinary authority is of the opinion that there are grounds for inquiring into the truth of any imputation of misconduct or misbehavior against an employee, it may itself enquire into, or appoint any public servant (hereinafter called the inquiring authority) to inquire into the truth thereof.
- (3) Where it is proposed to hold an inquiry, the disciplinary authority shall frame definite charges on the basis of the allegations against the employee. The charges, together with a statement of the allegations, on which they are based, a list of documents by which and a list of witnesses by whom, the articles of charge

are proposed to be sustained, shall be communicated in writing to the employee, who shall be required to submit within such time as may be specified by the Disciplinary Authority (not exceeding 15 days), a written statement whether he admits or denies any of or all the Articles of Charge.

**Explanation:** It will not be necessary to show the documents listed with the charge sheet or any other document to the employee at this stage.

- (4) On receipt of the written statement of the employee, or if no such statement is received within the time specified, an enquiry may be held by the Disciplinary Authority itself, or by any other public servant appointed as an Inquiring Authority under sub-clause (2).

Provided that it may not be necessary to hold an inquiry in respect of the charges admitted by the employee in his written statement. The disciplinary authority shall, however, record its findings on each such charge.

- (5) Where the disciplinary authority itself inquires or appoints an inquiring authority for holding an inquiry, it may, by an order appoint a public servant to be known as the "Presenting Officer" to present on its behalf the case in support of the articles of charge.
- (6) The employee may take the assistance of any other public servant but may not engage a legal practitioner for the purpose.
- (7) On the date fixed by the inquiring authority, the employee shall appear before the inquiring authority at the time, place and date specified in the notice. The inquiring authority shall ask the employee whether he pleads guilty or has any defence to make and if he pleads guilty of any of the articles of charge, the inquiring authority shall record the plea, sign the record and obtain the signature of the employee concerned thereon. The inquiring authority shall return a finding of guilt in respect of those articles of charge to which the employee concerned pleads guilty.
- (8) If the employee does not plead guilty, the inquiring authority shall adjourn the case to a later date not exceeding thirty days, after recording an order that the employee may, for the purpose of preparing his defence:
- (i) Inspect the documents listed with the charge sheet.
  - (ii) Submit a list of additional documents and witnesses that he wants to examine; and
  - (iii) Be supplied with the copies of the statements of witness, if any, listed in the charge sheet.

**NOTE:** Relevancy of the additional documents and the witnesses referred to in sub-clause 8 (ii) above will have to be given by the employee concerned and the documents and the witnesses shall be called if the inquiring authority is satisfied about their relevance to the charges under inquiry.

- (9) The inquiring authority shall ask the authority in whose custody or possession the documents are kept, for the production of the documents on such date as may be specified.

- (10) The authority in whose custody or possession the requisitioned documents are, shall arrange to produce the same before the inquiring authority on the date, place and time specified in the requisition notice.

Provided that the authority having the custody or possession of the requisitioned documents may claim privilege if the production of such documents will be against the public interest or the interest of the Board. In that event, it shall inform the inquiring authority accordingly.

- (11) On the date fixed for the inquiry, the oral and documentary evidence by which the articles of charge are proposed to be proved shall be produced by or on behalf of the disciplinary authority. The witnesses shall be examined by or on behalf of the Presenting Officer and may be cross-examined by or on behalf of the employee. The Presenting Officer shall be entitled to re-examine the witnesses on any point on which they have been cross-examined, but not on a new matter, without the leave of the inquiring authority. The inquiring authority may also put such questions to the witnesses as it thinks fit.
- (12) When the case for the disciplinary authority is closed, the employee may be required to state his defence, orally or in writing, as he may prefer. If the defence is made orally, it shall be recorded and the employee shall be required to sign the record. In either case a copy of the statement of defence shall be given to the Presenting Officer, if any, appointed.
- (13) The evidence on behalf of the employee shall then be produced. The witnesses produced by the employee shall then be examined and shall be liable to cross-examination by the inquiring authority.
- (14)(i) After the conclusion of the inquiry, report shall be prepared and it shall contain: -
- (a) A gist of the articles of charge and the statement of the imputations of misconduct or misbehaviors;
  - (b) A gist of the defence of the employee in respect of each article of charge;
  - (c) An assessment of the evidence in respect of each article of charge;
  - (d) The findings on each article of charge and the reasons therefore.

**Explanation:** If in the opinion of the inquiring authority the proceedings of the inquiry establish any article of charge different from the original articles of the charge, it may record its findings on such article of charge;

- (ii) The inquiring authority where it is not itself the disciplinary authority, shall re-forward to the disciplinary authority the records of inquiry, which shall include: -
- (a) The report of the inquiry prepared by it.
  - (b) The written statement of defence, if any submitted by the employee.
  - (c) The oral and documentary evidence produced in the course of the inquiry;
  - (d) The orders, if any, made by the disciplinary authority and the inquiring authority in regard to the inquiry.

### **Rule 27. Action on the inquiry report**

- (1) The disciplinary authority, if it is not itself the inquiring authority may, for reasons to be recorded by it in writing remit the case to the inquiring authority for fresh or further inquiry and report and the inquiring authority shall thereupon proceed to hold the further inquiry.
- (2) The disciplinary authority shall, if it disagrees with the findings of the inquiring authority on any article of charge, record its reasons for such disagreement and record its own findings on such charge, if the evidence on record is sufficient for the purpose.
- (3) If the disciplinary authority having regard to its findings on all or any of the articles of charge is of the opinion that any of the penalties specified in rule 22 should be imposed on the employee it shall, notwithstanding anything contained in rule 28 make an order imposing such penalty.
- (4) If the disciplinary authority having regard to its findings on all or any of the articles of charge is of the opinion that no penalty is called for, it may pass on order exonerating the employee concerned.

### **Rule 28. Procedure for imposing minor penalties**

- (1) Where it is proposed to impose any of the minor penalties specified in clauses (a) to (d) of Rule 22, the employee concerned shall be informed in writing of the imputations of misconduct or misbehavior against him and given an opportunity to submit his written statement of defence within a specified period not exceeding 15 days. The defence statement, if any, submitted by the employee shall be taken into consideration by the disciplinary authority before passing orders.
- (2) The record of the proceedings shall include: -
  - (i) A copy of the statement of imputations of misconduct or misbehavior delivered to the employee;
  - (ii) His defence statement, if any; and
  - (iii) The orders of the disciplinary authority together with the reasons therefore.

### **Rule 29. Communication of Orders**

Orders made by the Disciplinary Authority under Rule 27 or Rule 28 shall be communicated to the employee concerned, who shall also be supplied with a copy of the report of inquiry, if any.

### **Rule 30. Common Proceedings**

Where two or more employee are concerned in a case, the authority competent to impose a major penalty on all such employees may make an order directing that disciplinary proceedings against all of them may be taken in a common proceedings and

the specified authority may function as the disciplinary authority for the purpose of such common proceedings.

**Rule 31. Special procedure in certain cases**

Notwithstanding anything contained in Rule 26 or 27 or 28, the disciplinary authority may impose any of the penalties specified in Rule 22 in any of the following circumstances: -

- (i) The employee has been convicted on a criminal charge, or on the strength of facts or conclusions arrived at by a judicial trial; or
- (ii) Where the disciplinary authority is satisfied for reasons to be recorded by it in writing that it is not reasonably practicable to hold an inquiry in the manner provided in these Rules, or
- (iii) Where the disciplinary authority is satisfied that in the interest of that in the interests of the security of the Board, it is not expedient to hold any inquiry in the manner provided in these rules.

**Rule 32. Employees on deputation from the Central Government or the State Government etc.**

- (i) Where an order of suspension is made or disciplinary proceedings is taken against an employee, who is on deputation to the Board from the Central or State Government, or another public undertaking, or a local authority, the authority lending his services (hereinafter referred to as the "Lending Authority") shall forthwith be informed of the circumstances leading to the order of his suspension, or the commencement of the disciplinary proceeding, as the case may be.

- (ii) In the light of the findings in the disciplinary proceeding taken against the employee-

- (a) If the Disciplinary authority is of the opinion that any of the minor penalties should be imposed on him it may pass such orders on the case, as it deems necessary after consultation with the Lending Authority:

Provided that in the event of a difference of opinion between the Disciplinary and Lending Authority, the services of the employee shall be placed at the disposal of the Lending Authority.

- (b) If the Disciplinary Authority is of the opinion that any of the major penalties should be imposed on him, it should replace these services at the disposal of the Lending Authority and transmit to it the proceedings of the enquiry for such action, as it deems necessary.

- (iii) If the employee submits an appeal against an order imposing a minor penalty on him under sub-rule (ii) (a), it will be disposed of after consultation with the Lending Authority:

Provided that if here is a difference of opinion between the Appellate Authority and the Lending Authority, the services of the employee shall be placed at the

disposal of the Lending Authority, and the proceedings of the case shall be transmitted to that authority for such action, as it deems necessary.

**Rule33. Appeals**

- (i) An employee or a person who has ceased to be in the service of the Board may appeal against an order imposing upon him any of the penalties specified in Rule 22 or against the order of suspension referred to in Rule 23. The appeal shall lie to the authority specified in the Schedule.
- (ii)(a) The appeal shall be preferred within one month from the date of communication of the order appealed against.

Provided that the appellate authority may entertain the appeal after the expiry of the said period, if it is satisfied that the appellant had sufficient cause for not preferring the appeal in time.

- (b) The appeal shall be submitted to the appellate authority, specified in the Schedule, to whom the appeal lies, a copy made the order appealed against. The authority whose order is appealed against shall forward the appeal together with its comments and the records of the case to the appellate authority within 15 days. The appellate authority shall consider whether the findings are justified or whether the penalty is excessive or inadequate and pass appropriate order within three months of the date of appeal. The appellate authority may pass orders confirming, enhancing, reducing or setting aside the penalty or remitting the case to the authority which imposed the penalty or to any other authority with such directions as it may deem fit in the circumstances of the case.
- (c) Provided that to the imposed penalty which to Appellate Authority proposed to impose a major penalty specified in clauses (e), (f) and (g) of Rule 22 and an inquiry as provided in Rule 26 has not already been held in the case, the appellate authority shall direct that such an inquiry be held in accordance with the provisions of Rule 26 and thereafter consider the record of the inquiry and pass such orders as it may deem proper. If the appellate authority decided to enhance the punishment but an enquiry has already been held as provided in Rule 26, the appellate authority give a show cause notice to the employee the appellate authority give a show cause notice to the employee as to why the enhanced penalty should not be imposed upon him. The appellate authority shall pass final order after taking into account the presentation, if any, submitted by the employee.

**Rule 34. Review: -**

Notwithstanding anything contained in these rules, the revising authority as specified in the schedule may call for the record of the case within six months of the date of the final order and after reviewing the case pass such orders thereon as it may deem fit.

Provided that if the enhanced penalty which the reviewing authority proposed to impose, is a major penalty specified in clauses (e), (f) or (g) of Rule 22 and an inquiry as provided under Rule 26 has not already been held in the case, the reviewing authority shall direct that such an inquiry be held in accordance with the provisions of Rule 26 and thereafter



consider the record of the provisions of Rule 26 and thereafter consider the record of the inquiry and pass orders as it may deem proper. If the reviewing authority decides to enhance the punishment but an inquiry has already been held in accordance with the provisions of Rule 26, the reviewing authority shall give a show-cause notice to the employee as to why the enhanced penalty should not be imposed upon him. The reviewing authority shall pass final order after taking into account the representation, if any, submitted by the employee.

**Rule 35. Service of orders, notice etc.**

Every order, notice and other process made or issued under these rules shall be served in person on the employee concerned or communicated to him by registered post at his last known address.

**Rule 36. Power to relax time limit and to condone delay**

Save as otherwise expressly provided in these rules, the authority competent under these rules to make any order may, for good and sufficient reasons or if sufficient cause is shown, extend the time specified in these rules for anything required to be done under these rules or condense any delay.

**Rule 37. Savings**

- (1) Nothing in these rules shall be construed as depriving any person to whom these rules apply, of any right of appeal, which had accrued to him under the rules, which have been superseded by these rules.
- (2) An appeal pending at the commencement of these rules against an order made before the commencement of these rules shall be considered and orders thereon shall be made, in accordance with these rules.
- (3) The proceedings pending at the commencement of the rules shall be continued and disposed as far as may be, in accordance with the provisions of these rules, as if such proceedings were proceedings under these rules.
- (4) Any misconduct, etc. committed prior to the issue of these rules shall be deemed to be misconduct under these rules.

**Rule 38. Removal of doubts**

Where a doubt arises as to the interpretation of any of these rules, the matter shall be referred to the Board for final decision.

**Rule 39. Amendments**

The Board may amend, modify or add to these rules, from time to time, and all such amendments, modifications or additions shall take effect from the date stated therein.

**CENTRAL WOOL DEVELOPMENT BOARD EMPLOYEE'S CONDUCT,  
DISCIPLINE & APPEAL RULES-1993 SCHEDULE.**

<b>Sr. No.</b>	<b>Classification of Posts</b>	<b>Disciplinary Authority</b>	<b>Appellate Authority</b>	<b>Reviewing Authority</b>
1	Group A Posts	Chairman	Committee of the Board	Board
2	Group B Posts	Vice-Chairman	Chairman	Board/ Committee of the Board
3	Group C Posts	Member Secretary	Vice-Chairman	Chairman
4	Group D Posts	Member Secretary	Vice-Chairman	Chairman